



2874

PTO/SB/21 (08-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	09/939,413
		Filing Date	August 23, 2001
		First Named Inventor	Liew C. Chiu
		Group Art Unit	2874
		Examiner Name	Not Assigned
Total Number of Pages in This Submission	122	Attorney Docket Number	3918P017X

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div style="border: 1px solid black; padding: 5px;">Form PTO/SB/08A; five (5) cited references</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 19, 2002

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

September 19, 2002

Typed or printed name	Pat Sullivan		
Signature			
	Date	September 19, 2002	

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FEET TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known	
Application Number	09/939,413
Filing Date	August 23, 2001
First Named Inventor	Liew C. Chiu
Examiner Name	Not Assigned
Group/Art Unit	2874
Attorney Docket No.	3918P017X

METHOD OF PAYMENT (check one)

Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
		20*	= 0 X 9.00 =	\$0.00
		3*	= 0 X 42.00 =	\$0.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)		

*or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
		139	130 Non-English specification
		147	2,520 For filing a request for ex parte reexamination
		112	920 * Requesting publication of SIR prior to Examiner action
		113	1,840 * Requesting publication of SIR after Examiner action
		115	110 Extension for reply within first month
		116	400 Extension for reply within second month
		117	920 Extension for reply within third month
		118	1,440 Extension for reply within fourth month
		128	1,960 Extension for reply within fifth month
		119	320 Notice of Appeal
		120	320 Filing a brief in support of an appeal
		121	280 Request for oral hearing
		138	1,510 Petition to institute a public use proceeding
		140	110 Petition to revive - unavoidable
		141	1,280 Petition to revive - unintentional
		142	1,280 Utility issue fee (or reissue)
		143	460 Design issue fee
		144	620 Plant issue fee
		122	130 Petitions to the Commissioner
		123	50 Prosessing fee under 37 CFR 1.17(q)
		126	180 Submission of Information Disclosure Stmt
		581	40 Recording each patent assignment per property (times number of properties)
		146	740 Filing a submission after final rejection (37 CFR § 1.129(a))
		149	740 For each additional invention to be examined (37 CFR § 1.129(b))
		179	740 279 Request for Continued Examination (RCE)
		169	900 Request for expedited examination of a design application
Other fee (specify)			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)	William E. Axford	Registration No. (Attorney/Agent)	37,764	Telephone	(714) 557-3800
Signature				Date	09/19/02

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